

**INTERNATIONAL
CATALOGUING STANDARDS
and
INTERNATIONAL STATISTICS
2013**

**Maintained by
The International Grading and
Race Planning Advisory Committee (IRPAC)**

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**The standards established by IRPAC have been approved by the
Society of International Thoroughbred Auctioneers**

www.ifhaonline.org/standardsBook.asp

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NOTES

INTRODUCTORY NOTES

The 2013 edition of *International Cataloguing Standards* contains information important to Thoroughbred breeders, sellers and buyers, including a full listing of stakes races of 2013 which will be accorded Group/Graded or Listed status in sales catalogues published by the world's major international auction houses under the auspices of SITA. Not listed herein are U. S. and Canadian stakes races of 2013 which will receive "black type" in such catalogues but are considered to be below Graded or Listed standards. An explanation of these races appears hereinafter under "Part I".

International Cataloguing Standards is organized in five parts, beginning with the introductory material. Users of this publication should bear in mind several caveats:

- 1. RACES INCLUDED IN THIS EDITION AND THEIR RATINGS ARE FOR 2013 ONLY.** Races previously rated which are no longer rated or will not be renewed in 2013 do not appear. A race run under a new name will be followed by the old race name in parenthesis and italics, if the country provided the information. Previously rated races which have been upgraded or downgraded appear with their 2013 rating only.
- 2. TO DETERMINE WHETHER A PARTICULAR HORSE IS A GROUP/GRADED OR LISTED WINNER YOU MUST REFER TO THE EDITION OF *International Cataloguing Standards* FOR THE YEAR IN WHICH THE HORSE WON THE RACE TO LEARN THE RATING OF THE RACE IN THAT YEAR.**
- 3. NOT ALL RACES APPEARING IN THIS PUBLICATION ARE ACCORDED GROUP/GRADED, LISTED OR "BLACK TYPE" STATUS IN SALES CATALOGUES.** Certain data contained herein is for additional information only. The explanations set forth in the following paragraphs should be carefully reviewed before using this publication.

PART I

Part I, on white paper, lists by country all stakes races of 2013 given Group/Graded or Listed status by the International Grading and Race Planning Advisory Committee (IRPAC) and approved by SITA. Non-Group/non-Graded races listed in Part I for all countries except the United States and Canada are considered Listed races. The "(L)" designator appears only for a non-Graded stakes race run in the United States or Canada which is eligible for grading but is not rated (see page xx for definition).

The first three finishers in all Group/Graded and Listed races appearing in Part I receive "black type". Winners receive upper case black type; second and third place finishers receive lower case black type.

Group designators (-G1, -G2, -G3) were inaugurated in 1971 and became applicable to English, French, German, Irish and Italian races, but not applied to North American catalogue pages until 1973. Grade designators were similarly inaugurated in 1973 in the United States and Canada. No procedure existed to confer Group or Graded status on any race prior to those years. Beginning January 1, 1985, brackets [] replaced the dash preceding the designators. At this time, the designators could also be listed after a horse's name, indicating its best finish, if there was no room on a catalogue page to list a complete race name. As previously stated, the first three finishers in a race will receive black type status, but beginning with races run in January 1, 1985, fourth place finishers in graded races run in countries listed in Part I of the "International Cataloguing Standards" will be recognized as official placings and those horses will also earn black type. This ruling was amended starting January 1, 1989, to award fourth place finishers black type status in Grade One races only. A subsequent ruling beginning January 1, 1990, no longer awards black type status to fourth place finishers.

Authorities accepted for determination of Group/Graded and Listed races in Part I are the European Pattern Race Committee for England, France, Germany, Ireland, Italy and other European countries; the TOBA American Graded Stakes Committee for the United States; and The Jockey Club of Canada for Canada. The Asian Racing Federation has been reviewing the lists submitted by its members. The OSAF has reviewed the lists for Argentina, Brazil, Chile, Peru, and Venezuela.

PART II

Part II, on blue paper, includes all Group/Graded and Listed races of countries which have not been accepted into Part I by IRPAC. The first three finishers in races listed in this Part receive "black type" as they meet IRPAC statistical standards, but have not for renewals since December 31, 1987 carried Group/Grade designators in catalogues published by SITA sales organizations, information regarding which may be found herein on page xiii.

PART III

Part III, on gray paper, includes all Group, Graded and Listed races of the countries which have not been accepted into Part II by IRPAC. Races listed in this Part do not receive "black type" under IRPAC standards for any renewals since December 31, 1988, *and are not carried or recognized in catalogues published by SITA sales organizations.* Race names and Groups/Grades listed in this Part are recognized only in the particular country.

PART IV

Part IV, on yellow paper, is the Jump Race Supplement, which lists steeplechase and hurdle races contested in countries comprising Parts I, II and III of this publication. These races are listed in italic form in sales catalogues and regional preferences determine if the italic form is black type.

GUIDELINES FOR CONSIDERATION

Introduction

Following are guidelines that IRPAC uses when determining placement of countries or races in the *International Cataloguing Standards and International Statistics* publication. Other relevant information and statistics brought forward by regional committees represented on IRPAC are also considered. These Guidelines are subject to, and in conjunction with, individual Ground Rules adopted by each region.

Part I

- ◆ Countries must have a Committee or Industry Group to overview and maintain the Group/Graded races in its country/region.
- ◆ All Group/Graded races must be open to international competition.
- ◆ The country must adopt and implement a specific process based on ratings or other qualitative criteria to assess the quality of its races
- ◆ Granting Group/Graded Race status or upgrading a race to a higher Group/Grade must be justified by the quality of the runners.
- ◆ Listed Race status must be justified by the quality of the runners or by published National standards that ensure quality runners. Quality can be assessed by different systems including ratings, past performances and points.
- ◆ Except in exceptional circumstances, Group/Graded Races must be downgraded or lose their status if the quality of the runners does not justify the Group/Grade.
- ◆ Listed Races must be downgraded if the quality of runners does not justify Listed status or if they do not adhere to published National standards that ensure quality runners.
- ◆ To upgrade an existing Group/Graded race, the race must have been run a minimum of two years around the same date, with similar race conditions, comparable prize money and satisfy the quality criteria.
- ◆ New Group/Grade 2 or 1 may be created without prior running under the following exceptional circumstances--

This creation must be induced by the implementation of a project or event of continental or intercontinental importance. Such project or event must have a major impact on the racing and/or breeding activity of all the countries of the region concerned and eventually for the Thoroughbred industry worldwide.

The project or event must be unanimously supported by the members of each regional committee concerned, in charge of controlling quality in the existing Group/Graded system.

The detailed project or event must have been notified by the regional committee to IRPAC, with justification for exceptional circumstances.

Any race granted Group/Graded status under exceptional circumstances must be reviewed every year by the relevant regional committee in charge of controlling quality in the existing Group/Graded system, and automatically downgraded after three runnings if the quality of the runners does not comply with the criteria enforced.

PROMOTION TO PART I

- ◆ Racing in these countries must be of sufficient stature in terms of number of horses competing, number of races contested and prize money distributed to justify their classification as being among the world's major racing countries.
- ◆ The number and percentage of races given Group/Graded or 'black type' status in these countries should be consistent with the pattern of racing in the country without being disproportionate to the ratio of such races in the countries already in Part I.
- ◆ The Group/Grade and Listed races in these countries are the highest class races contested within them.
- ◆ Ratings and other qualitative criteria shall have been reviewed by each regional committee concerned as well as IRPAC and deemed acceptable for Part I.
- ◆ Individual races of a Part II country can be promoted to Part I after 2 runnings if their quality complies with international Group/Graded status.

Part II

- ◆ The country must adopt and implement a specific process based on ratings or other qualitative criteria to assess the quality of its races.
- ◆ Races included in Part II must be justified by the quality of the runners or by published National standards that ensure quality runners of Listed status. Quality can be assessed by different systems including ratings, past performances and points.

- ◆ The percentage of black-type races to the total number of races run in that country should be no higher than 3%.
- ◆ The ratio of the number of different horses to start in that country to the number of black-type races should be no lower than 40:1.
- ◆ The percentage of black-type races which are open to foreign horses will be considered.
- ◆ The performances of the horses trained in that country when racing abroad will be considered.
- ◆ Individual races of a Part III country can be promoted to Part II after 2 runnings if their quality complies with required criteria for Listed status.

Part III

- ◆ Races must be restricted to horses as defined in Article 12 or 13 of the International Agreement on Breeding, Racing and Wagering.
- ◆ To support an application the following data should be provided for consideration by IRPAC--

The number of horses in training, number of races, details of imported horses, purse structure, their stallions and breeding program for local and imported mares, and an overview of the Racing Authority governing the Rules of Racing.

GENERAL

Races in each Part are set forth alphabetically by country with the name of the sponsor being indicated between brackets after the name of the race. Indices are included at the ends of Parts I, II and III.

Copies of certain prior editions of this publication may be purchased through the Society of International Thoroughbred Auctioneers, England, and The Jockey Club Information Systems, Inc., Lexington, Kentucky.

The contents of this publication can be viewed on the internet at:

www.ifhaonline.org/standardsBook.asp

A complete set for reference will greatly facilitate research into black type by any reader.

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INTERNATIONAL CATALOGUING STANDARDS COMMITTEE

The International Cataloguing Standards Committee was formed in 1981 to achieve uniformity of cataloguing standards throughout the world in a time of increasing internationalization of Thoroughbred breeding, racing and marketing. The committee was made up of representatives of the racing authorities, breeders' organizations, and international auction houses of four major breeding and racing countries (England, France, Ireland, and the United States). One representative was added for The Organizacion Sudamericana de Fomento del Pura Sangre de Carrera (O.S.A.F.) in 1995 and one was added for the Asian Racing Federation in 1996. The committee made recommendations to the Society of International Thoroughbred Auctioneers (SITA).

The committee sought to achieve uniformity by making *International Cataloguing Standards* the single most authoritative, comprehensive, practical and accepted publication dealing with "black type" throughout the Thoroughbred world. In 1985 the committee set forth conditions under which a country could make the transition from Part II to Part I, and invited applications from those countries seeking to make this transition.

In October of 1985, after careful evaluation of the various applications, the committee welcomed Argentina, Australia, Brazil, Chile, New Zealand, Peru, South Africa and Uruguay into Part I. The committee found: 1) that racing in these countries was of sufficient stature in terms of number of horses competing, number of races contested, and purse monies distributed to justify their classification as being among the world's major racing countries; 2) that the number and percentage of races given group, graded or "black type" status in these countries was consistent with the pattern of racing in them without being disproportionate to the ratio of such races in the countries already in Part I; and 3) that the group or graded and listed races in these countries were, in fact, the highest class races contested within them.

Following a complete review of all countries in Part I for the 1992 publication, the number of graded and listed races was reduced for several countries and Uruguay was demoted to Part II.

In June of 2007 ICSC decided to transfer the responsibilities of making recommendations for the Group or Graded status of races to the International Grading and Race Planning Advisory Committee (IRPAC). The IRPAC recommendations are ratified by SITA. Responsibilities for making recommendations regarding cataloguing page format issues are coordinated by regional ICSC sub-committees.

INTERNATIONAL GRADING AND RACE PLANNING ADVISORY COMMITTEE (IRPAC)

In March 2002, the Executive Council of the International Federation suggested to set up the International Grading and Race Planning Advisory Committee (IRPAC), entrusted with making recommendations concerning the calendar of international racing events as well as on the arrangements for ensuring the application of consistent standards worldwide to the grading of Pattern races. For this second part of its mandate, the IRPAC will base its recommendations on the ratings established by an International Classification Committee which will report to the IRPAC. In June of 2007 ICSC decided to transfer the responsibilities of making recommendations for the Group or Graded status of races to the IRPAC. The IRPAC recommendations are ratified by SITA.

Missions

- ◆ control enforcement of international criteria for grading races and implement quality control on existing Pattern or Grading systems
- ◆ make recommendations to SITA concerning promotions or demotions to the different parts of the international booklet
- ◆ ratify terms of reference of International Classification Committee and appoint its members
- ◆ advise on International Calendar and new International Races
- ◆ advise any country on implementation of Pattern or Grading system
- ◆ discuss development of World Series, ARF Series or others
- ◆ create and update a Web site (www.IFHAonline.org) presenting the conditions of the principal international races

The Committee will propose its terms of reference to Executive Council of IFHA.

The Committee Secretariat will ensure liaison concerning current work between formal Committee meetings (one or two per year).

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- * One nominee designated by the European Pattern Committee: Brian Kavanagh
- * One nominee designated by the American Graded Stakes Committee: Dan Metzger
- * One nominee designated by the Canadian Graded Stakes Committee: David Wilmot
- * Two nominees designated by the Asian Pattern Committee: Kazuo Kuriki, Alan Brown
- * One nominee designated by the Executive Committee of OSAF: Dr. Ignacio Pavlovsky, Jr.
- * Chairman and Vice-Chairman of SITA: Eric Hoyeau, Vin Cox
- * Three representatives of Breeders:
 - America (designated by the US Jockey Club & TOBA): G. Watts Humphrey, Jr.
 - Europe (designated by the EFTBA): Dr. Franco Castelfranchi
 - Asia (designated by the Asian Racing Federation): Greg Purcell

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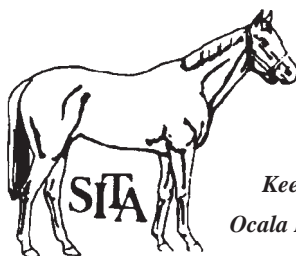
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SITA, the Society of International Thoroughbred Auctioneers, is an association of the world's leading Bloodstock Auction houses.

It was founded in 1983 to create a forum for the discussion of subjects of mutual interest to International Thoroughbred Auctioneers.

SITA members are experienced Bloodstock Auctioneers providing the highest standard of auctioning to both buyers and sellers. Each member's Conditions of Sale are designed to protect both parties and have evolved over many years of trading. They reflect the law of the country of residence of each member, whilst reacting to changes in the marketplace.

Current subjects for discussion by SITA may include changes in the law affecting Public Auction, advances in veterinary science, cataloguing points or the future of internet sales.

SITA also ratifies any decisions made by IRPAC who compile the Cataloguing Standards Booklet.

The free flow of information does not in any way compromise the competition which exists between the various auction houses.

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BLACK TYPE DESIGNATORS FOR NORTH AMERICAN RACING

In 2013, for a stakes race in the United States or Canada to receive black type in SITA catalogues, it must:

- Have a minimum purse value of \$50,000 distributed on the day of the race.
- Close at least 72 hours in advance of its running, have a fee paid by the owner of the entrant, and have a total purse value distributed on the day of the race equal to or greater than the established minimum.
- All entries must be eligible for the purse monies used to determine the minimum purse value for black type or listed status.
- Have no restrictions other than state-bred, non-winners of a sweepstakes, sales graduates or stallions' progeny.
- May not contain a preference clause(s) based on criteria unrelated to the quality of the horse if such preference clause(s) could possibly exclude any horse(s) of superior quality from competing.

DESIGNATOR DEFINITION

**G1, G2, G3
GRADED RACE** A non-restricted race with added money or guaranteed purse value of \$100,000 or more which has been run at least twice under similar conditions and on the same surface and has been assigned graded stakes status for the year contested by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada Graded Stakes Committee for Canada. The Committees have set minimum purse requirements for each grade level. For 2013 the minimums are: G3 - \$100,000, G2 - \$150,000, and G1 - \$250,000.

**L
LISTED RACE** Listed races in North America for 2013 are non-restricted, have a value of \$75,000 or more available to all starters and have been assigned Listed status by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada Graded Stakes Committee for Canada. Prior to 1985 Listed races receive the designator -L (or -LR if restricted.) In 1985 the designators were changed to [L] or [LR].

L LISTED RACE, continued Beginning in 1986 the track abbreviation where the race was run and the amount of money earned by the individual is carried in parentheses following the designators. Beginning in 1991 a Listed race may not be restricted.

R RESTRICTED RACE A race restricted to certain runners as defined by the conditions of the race. These conditions tend to exclude runners of greater quality for considerations other than sex or age. These races receive the designator -R.

FOR 1985 RACING ONLY

O OTHER ADDED MONEY BLACK TYPE RACES Other added money or black-type races received an [O] (or [OR] if restricted) designator for 1985 only.

Q QUALIFIED RACE [Q] races are unrestricted allowance and handicap races with a purse value of \$30,000 or more. These races received black type status in 1985 only.

N NON-QUALIFIED These races are stakes races that were run in 1985 only and had an added money value of less than \$15,000. They do not receive black type status but are identified by the [N] designator.

HURDLE OR JUMP RACES Hurdle and jump races were included in Parts I, II and III of the “*International Cataloguing Standards*” book and qualified for black type status dependent upon their placement until January 1, 1986. Beginning with this date, these races were placed in Part IV of the publication and lost their black type status for catalogues produced using North American ICSC guidelines. Horses which won or placed in a hurdle or jump race since January 1, 1986 are now identified by their names being carried in *italic mixed case*.

All dollar amounts mentioned above are stated in U.S. and Canadian dollars, unless otherwise indicated.

THOROUGHBRED OWNERS AND BREEDERS ASSOCIATION & AMERICAN GRADED STAKES COMMITTEE

The grading of races began in 1973 as a TOBA project primarily at the request of European authorities anxious that North America have a system similar to their group race structure.

In most European countries all races are planned, even dictated by a central authority. Thus, from year to year, most races will be run at approximately the same time, under the same conditions and in the same relationship with each other. In the United States no such constancy can exist; no central authority prescribes a comprehensive racing calendar; individual racetracks annually plan their own stakes schedules. As a result, any grading system must be flexible and allow for prompt responses to change.

The Committee is composed of members of the Thoroughbred industry who voluntarily meet periodically to issue their collective opinion concerning the relative quality of certain Thoroughbred races in the United States. The Committee ranks eligible races as Grade 1, Grade 2, Grade 3 and Listed, with Grade 1 being the highest.

CRITERIA FOR CONSIDERATION FOR GRADING

The Committee has adopted the following criteria for screening U.S. races:

Purse requirement - a minimum of \$75,000 in added or guaranteed money.

Longevity - two previous runnings under fundamentally the same conditions.

Drug testing - Post-race drug testing performed on samples from horses participating in graded stakes must meet or exceed the guidelines in the committee's drug testing protocol.

Medication - Races are ineligible for grading if Association of Racing Commissioners International model rules on androgenic-anabolic steroids and non-steroidal anti-inflammatory drugs are not adopted.

Restricted races - Races will be ineligible for grading if conditions for competing in them include restrictive provisions relative to which horses may enter, other than by sex and age. A race is regarded as a restricted race if any of its conditions for entry would tend to exclude better horses while allowing participation by lesser horses.

Preference conditions - Preference conditions should be written to industry acceptable standards, i.e., high weights, graded wins/placings, graded earnings, points from graded wins/placings, etc., with the goal of achieving the highest quality field. Deviating from standard preference practices may result in fields of lesser quality, which could lead to downgrading through the graded stakes review process.

Invitational races - Invitational races are eligible for grading if the added or guaranteed money is a minimum of \$75,000 and the race meets the other criteria.

Changes in conditions by race - If a race is altered materially in age, sex, eligibility, race track location, purse, distance or substantially changed on the calendar, this will prompt a review and may result in a change in grade.

Forced changes in track surface and splitting of races - Beginning in 1999 a graded race scheduled for the turf, but moved to the dirt because the turf course is unsuitable for racing, may be downgraded one grade level for that running only. A graded race is not penalized if it is split into divisions unless the total purse for all divisions is less than 150% of the original purse.

Committee members bring judgment and knowledge to every grading decision. The grades, therefore, are a composite of expert opinion, rather than the result solely of statistical analysis.

TOBA/AMERICAN GRADED STAKES COMMITTEE

Secretary: Andrew Schweigardt
3101 Beaumont Centre Circle
Suite 110
P.O. Box 910668
Lexington, Kentucky 40591-0668

2012 - 2013 Membership

The TOBA/American Graded Stakes Committee is composed of six TOBA members, appointed by TOBA's Chairman and confirmed by its trustees, with rotating three-year terms, three of which may be consecutive; and five racing official members, elected by the six TOBA members, with three-year terms, three of which may be consecutive. The terms for each member commence on August 1. Any racing official on the Committee whose employment status changes significantly during his or her term on the Committee automatically vacates his or her position on the Committee.

Representing the Thoroughbred Owners and Breeders Association

Dr. J. David Richardson, Chairman

William Farrish, Jr.

Seth Hancock

Michael Levy

J. Michael O'Farrell

Peter Willmott

Racing Officials

Rogers Beasley - Keeneland

Dan Bork - Gulfstream Park

P.J. Campo - NYRA

Michael Dempsey - Monmouth Park

Thomas Robbins - Del Mar



INTERNATIONAL RULE

for the

Assignment of Weight Penalties/Allowances and Interpretation of Race Conditions for Winning Group/Graded or Listed Races.

At the October, 2008 meeting of the International Grading and Race Planning Advisory Committee (IRPAC), the following International rule was established for assignment of weight penalties/allowances and interpretation of other race conditions related to winning Group or Listed races for international runners. The rule was established to more fairly provide equivalence for horses that have previously competed in countries that do not have races in Part I of the International Cataloguing Standards (ICS) publication. The rule is incorporated into the IFHA International Agreement on Breeding, Racing and Wagering.

- ▶ For countries whose major races are published in Part I only or in both Part I and Part II of the International Cataloguing Standards (ICS) book: races are regarded as Group/Graded races of the Group/Grade indicated or as Listed Races if no Group/Grade is mentioned.
- ▶ For countries whose major races are published in Part II only of the ICS book: races are regarded as Listed Races whatever the indicated Group/Grade.
- ▶ For countries whose major races are published in Part III or not published in the ICS book: a race with Group 1 National status is regarded as a Listed Race.

This rule becomes effective January 1, 2009, with races prior to January 1, 2009 retrospectively evaluated based on the new rule. When referring to the ICS book to assist with interpretation of the rule, the publication for the appropriate year should be referenced based on the date of each race.

LIST OF ABBREVIATIONS

“a” preceding a distance indicates that the distance is approximate rather than exact.

“(E.B.F.)” following a race name indicates the purse of the race has been supplemented by the European Breeders Fund.

“(H)” following a race name indicates that the race is a handicap race.

“N/A” located in any field indicates the data for that field was not available at the time of publication or that the information is not applicable.

“(R)” following a race name indicates that the race is restricted to certain runners which are further defined in the conditions of the race.

“D” following a distance indicates the race is scheduled to be run on a dirt course.

“T” following a distance indicates the race is scheduled to be run on a turf course.

“awt” following a distance indicates the race is scheduled to be run on an all weather track.

Races with sex restrictions will be designated with the following abbreviations following the age:

c = colts

g = geldings

f = fillies

m = mares

EXPLANATORY NOTES

Distance Conversion

Race distances are computed differently in individual countries. Furlongs, miles and meters are all commonly used to describe the distance of a race. A meter is equal to 39.37 inches; a 1600 meter race is about 30 feet short of a mile. For the purpose of this book, the following equivalents are used:

One Mile = 1600 meters

8 furlongs = One Mile

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