

**INTERNATIONAL
CATALOGUING STANDARDS
and
INTERNATIONAL STATISTICS
2001**

**Established by the
International Cataloguing Standards Committee**

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INTRODUCTORY NOTES

The 2001 edition of *International Cataloguing Standards* contains information important to Thoroughbred breeders, sellers and buyers, including a full listing of stakes races of 2001 which will be accorded Group/Graded or Listed status in sales catalogues published by the world's major international auction houses under the auspices of SITA in accordance with rules of ICSC. Not listed herein are U. S. and Canadian stakes races of 2001 which will receive "black type" in such catalogues but are considered to be below Graded or Listed standards. An explanation of these races appears hereinafter under "Part I"

International Cataloguing Standards 2001 is organized in five parts, beginning with the introductory material. Users of this publication should bear in mind several caveats:

- 1. RACES INCLUDED IN THIS EDITION AND THEIR RATINGS ARE FOR 2001 ONLY.** Races previously rated which are no longer rated or will not be renewed in 2001 do not appear. A race run under a new name will be followed by the old race name in parenthesis and italics, if the country provided the information. Previously rated races which have been upgraded or downgraded appear with their 2001 rating only.
- 2. TO DETERMINE WHETHER A PARTICULAR HORSE IS A GROUP/GRADED OR LISTED WINNER YOU MUST REFER TO THE EDITION OF *International Cataloguing Standards* FOR THE YEAR IN WHICH THE HORSE WON THE RACE TO LEARN THE RATING OF THE RACE IN THAT YEAR.**
- 3. NOT ALL RACES APPEARING IN THIS PUBLICATION ARE ACCORDED GROUP/GRADED, LISTED OR "BLACK TYPE" STATUS IN SALES CATALOGUES.** Certain data contained herein is for additional information only. The explanations set forth in the following paragraphs should be carefully reviewed before using this publication.

PART I

Part I, on white paper, lists by country all stakes races of 2001 given Group/Graded or Listed status by the International Cataloguing Standards Committee (information regarding which may be found herein on pages vi and vii). Non-Group/non-Graded races listed in Part I for all countries except the United States and Canada are considered Listed races. The "L" designator appears only for a non-Graded stakes race run in the United States or Canada which is eligible for grading but is not rated (see page xiii for definition).

The first three finishers in all Group/Graded and Listed races appearing in Part I receive "black type". Winners receive upper case black type; second and third place finishers receive lower case black type.

Group designators (-G1, -G2, -G3) were inaugurated in 1971 and became applicable to English, French, German, Irish and Italian races, but not applied to North American catalog pages until 1973. Grade designators were similarly inau-

gured in 1973 in the United States and Canada. No procedure existed to confer Group or Graded status on any race prior to those years. Beginning January 1, 1995, brackets [] replaced the dash preceding the designators. At this time, the designators could also be listed after a horse's name, indicating its best finish, if there was no room on a catalog page to list a complete race name. As previously stated, the first three finishers in a race will receive black type status, but beginning with races run in January 1, 1985, fourth place finishers in graded races run in countries listed in Part I of the "International Cataloguing Standards" will be recognized as official placings and those horses will also earn black type. This ruling was amended starting January 1, 1989, to award fourth place finishers black type status in Grade One races only. A subsequent ruling beginning January 1, 1990, no longer awards black type status to fourth place finishers.

Authorities accepted for determination of Group/Graded and Listed races in Part I are the European Pattern Race Committee for England, France, Germany, Ireland, Italy and other European countries; the TOBA American Graded Stakes Committee for the United States; and The Jockey Club of Canada for Canada. The Asian Racing Conference has been reviewing the lists submitted by its members. The OSAF has reviewed the lists for Argentina, Brazil, Chile, Peru, and Venezuela.

PART II

Part II, on blue paper, includes all Group/Graded and Listed races of countries which have not been accepted into Part I by ICSC. The first three finishers in races listed in this Part receive "black type" as they meet ICSC statistical standards, but have not for renewals since December 31, 1987 carried Group/Grade designators in catalogues published by SITA sales organizations, information regarding which may be found herein on page xi.

PART III

Part III, on gray paper, includes all Group, Graded and Listed races of the countries which have not been accepted into Part II by ICSC. Races listed in this Part do not receive "black type" under ICSC standards for any renewals since December 31, 1988, and are not recognized in catalogues published by SITA sales organizations. Race names and Groups/Grades listed in this Part are recognized only in the particular country.

PART IV

Part IV, on yellow paper, is the Jump Race Supplement, which lists steeplechase and hurdle races contested in countries comprising Parts I, II and III of this publication. These races may receive "black type" in National Hunt catalogues which are not subject to ICSC standards. Under ICSC standards these races do not receive "black type" but may receive "italic type" in SITA catalogues.

GENERAL

Races in each Part are set forth alphabetically by country with the name of the sponsor being indicated between brackets after the name of the race. Indices are included at the ends of Parts I, II and III.

Copies of certain prior editions of this publication may be purchased through the Society of International Thoroughbred Auctioneers, England, and The Jockey Club Information Systems, Inc., Lexington, Kentucky.

The contents of this publication can be viewed on the internet at www.equineonline.com/icscbook.

A complete set for reference will greatly facilitate research into black type by any reader.

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INTERNATIONAL CATALOGUING STANDARDS COMMITTEE

Since its formation in 1981, the International Cataloguing Standards Committee has worked to achieve uniformity of cataloguing standards throughout the world in a time of increasing internationalization of Thoroughbred breeding, racing and marketing. The committee is made up of representatives of the racing authorities, breeders' organizations, and international auction houses of four major breeding and racing countries (England, France, Ireland, and the United States). The Organizacion Sudamericana de Fomento del Pura Sangre de Carrera (O.S.A.F.) has one representative since 1995 and the Asian Racing Conference has also one since 1996. The committee is making recommendations to the Society of International Thoroughbred Auctioneers.

The committee seeks to achieve uniformity by making International Cataloguing Standards the single most authoritative, comprehensive, practical and accepted publication dealing with "black type" throughout the Thoroughbred world. In 1985 the committee set forth conditions under which a country could make the transition from Part II to Part I, and invited applications from those countries seeking to make this transition.

In October of 1985, after careful evaluation of the various applications, the committee welcomed Argentina, Australia, Brazil, Chile, New Zealand, Peru, South Africa and Uruguay into Part I. The committee found: 1) that racing in these countries is of sufficient stature in terms of number of horses competing, number of races contested, and purse monies distributed to justify their classification as being among the world's major racing countries; 2) that the number and percentage of races given group, graded or "black type" status in these countries is consistent with the pattern of racing in them without being disproportionate to the ratio of such races in the countries already in Part I; and 3) that the group or graded and listed races in these countries are, in fact, the highest class races contested within them.

Following a complete review of all countries in Part I for the 1992 publication, the number of graded and listed races was reduced for several countries and Uruguay was demoted to Part II.

The International Cataloguing Standards Committee realizes that its goal can be achieved only through annual review and continuing refinement of its criteria. It is also the committee's hope and desire that all countries offering Thoroughbred racing will strive to meet the international criteria.

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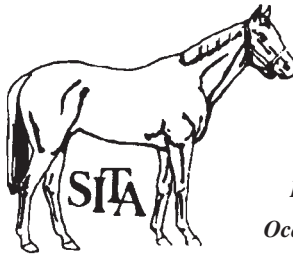
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SOCIETY OF INTERNATIONAL THOROUGHBRED AUCTIONEERS

SITA was founded in 1983 by six of the leading Thoroughbred auction houses in the Northern Hemisphere. Their principal aim was to create a forum for the discussion of their mutual problems. The independence of individual Members is nevertheless fully preserved.

The Founding Members have since been joined by William Inglis & Son Pty. Ltd. of Australia, The Thoroughbred Breeders' Association of South Africa, Canadian Breeders' Sales, Inc. and Wrightson Bloodstock Ltd. of New Zealand. A number of companies with less international business have become Associate Members.

SITA's business is conducted by a Council, which meets twice each year, composed of a senior representative (generally the Chairman or President) from each Member Company.

Between Council meetings, SITA investigates and monitors matters of interest to the bloodstock industry as they relate to auction sales.

A SITA member from England, France, Ireland, and North America is appointed to the International Cataloguing Standards Committee. SITA also sponsors publication annually of the International Cataloguing Standards booklet.

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BLACK TYPE DESIGNATORS FOR NORTH AMERICAN RACING

For 2001, black-type will be awarded for a stake race that closes at least seventy-two hours in advance of its running, has a fee paid by the owner of the entry, and has a total purse value distributed on the day of the race of \$25,000 or more.

<i>DESIGNATOR</i>	<i>DEFINITION</i>
G1, G2, G3 GRADED RACE	A non-restricted race with added money or guaranteed purse value of \$75,000 or more which has been run at least twice under similar conditions and on the same surface and has been assigned graded stakes status for the year contested by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association (TOBA) for the United States, or The Jockey Club of Canada for Canada.
L LISTED RACE	Listed races in North America are those with a value of \$50,000 or more. These races will receive the designator -L (or LR if restricted) prior to 1985. For the year 1985, the designators were changed to [L] or [LR]. Starting in 1986, the track abbreviation where the race was run and the amount of money earned by the individual is carried in parentheses following the designators. Beginning January 1, 1991, an "L" race may not be restricted.
R RESTRICTED RACE	A race restricted to certain runners as defined by the conditions of the race. These conditions tend to exclude runners of greater quality for considerations other than sex or age. These races receive the designator -R prior to 1985.
H HANDICAP RACE	A handicap race which qualifies for black type but does not carry the word "handicap" in its name.
OTHER ADDED MONEY BLACK TYPE RACES	Other races that qualify for black type and have an added money value of \$15,000 to \$49,000 through calendar year 1988. Beginning January 1, 1989, the minimum purse value for the black type races increased to \$20,000-added. The purse value for black type races beginning January 1, 1990 was increased to \$25,000-added or \$35,000-guaranteed, and starting January 1, 1994, a black type race must have a total purse value distributed on the day of the race of

**Other Added Money
Black Type Races
continued** \$25,000 or more. These races received an [O] (or [OR] if restricted) designator in 1985 only. Beginning in 1986, these races are not identified by race designators, unless the race is restricted, but are identified by the track abbreviation and money earned by the individual carried in parentheses following the race name. If the race is restricted, the -R designator is carried as part of the race name in addition to the track abbreviation and money earned.

FOR 1985 RACING ONLY

**Q
QUALIFIED
RACE** [Q] races are unrestricted allowance and handicap races with a purse value of \$30,000 or more. These races received black type status in 1985 only.

**N
NON-
QUALIFIED** These races are stakes races that were run in 1985 only and had an added money value of less than \$15,000. They do not receive black type status but are identified by the [N] designator.

**HURDLE OR
JUMP RACES** Hurdle and jump races were included in Parts I, II and III of the "*International Cataloguing Standards*" book and qualified for black type status dependent upon their placement until January 1, 1986. Beginning with this date, these races were placed in Part IV of the publication and lost their black type status for catalogs produced using ICSC guidelines. Horse which won or placed in a hurdle or jump race since January 1, 1986 are now identified by their names being carried in *italic mixed case*.

THOROUGHBRED OWNERS AND BREEDERS ASSOCIATION

&

AMERICAN GRADED STAKES COMMITTEE

The grading of races began in 1973 as a TOBA project primarily at the request of European authorities anxious that North America have a system similar to their group race structure.

In most European countries all races are planned, even dictated by a central authority. Thus, from year to year, most races will be run at approximately the same time, under the same conditions and in the same relationship with each other. In the United States no such constancy can exist; no central authority prescribes a comprehensive racing calendar; individual racetracks annually plan their own stakes schedules. As a result, any grading system must be flexible and allow for prompt responses to change.

The Committee is composed of members of the Thoroughbred industry who voluntarily meet periodically to issue their collective opinion concerning the relative quality of certain Thoroughbred races in the United States. The Committee ranks eligible races as Grade 1, Grade 2 and Grade 3, with Grade 1 being the highest.

CRITERIA FOR CONSIDERATION FOR GRADING

The Committee has adopted the following criteria for screening U.S. races:

Purse requirement - a minimum of \$75,000 in added or guaranteed money.

Longevity - two previous runnings under fundamentally the same conditions.

Restricted races - Races may be ineligible for grading if conditions for competing in them include restrictive provisions relative to which horses may enter, other than by sex and age. A race is regarded as a restricted race if (1) any of its conditions for entry would tend to exclude better horses while allowing participation by lesser horses or (2) if the race contains any preference clauses that are based on criteria other than the quality of the horses unless such preference applies only in determining the preference of horses deemed of equal quality (i.e. horses assigned equal weight in a highweights preferred race).

Invitational races - invitational races are eligible for grading if the added or guaranteed money is a minimum of \$75,000 and the race meets the other criteria.

Grading Criteria *continued*

Changes in conditions by race - If a race is altered materially in race-track location, purse or distance or substantially changed on the calendar, or not run for two or more years, this will prompt a review and may result in a change in grade.

Forced changes in track surface and splitting of races - Beginning in 1999 a graded race scheduled for the turf, but moved to the dirt because the turf course is unsuitable for racing, may be downgraded one grade level for that running only. A graded race is not penalized if it is split into divisions with purses of at least \$75,000 per division.

Committee members bring judgment and knowledge to every grading decision. The grades, therefore, are a composite of expert opinion, rather than the result solely of statistical analyses.

TOBA/AMERICAN GRADED STAKES COMMITTEE

Secretary: Wilson S. Shirley III

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2000 - 2001 Membership

The TOBA/American Graded Stakes Committee is composed of five TOBA regular members, appointed by the TOBA, with rotating five-year terms which may be consecutive; four racing official members, elected by the TOBA regular members, with three-year terms; up to nine racing official member observers with three-year terms, to be selected by the nine TOBA and racing official members. The terms for each member will commence on August 1. Any racing official on the Committee whose employment status changes significantly during his or her term on the Committee automatically vacates his or her position on the Committee.

Representing The Thoroughbred Owners and Breeders Association

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Gary E. Bisantz

Dell Hancock

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Barry K. Schwartz

Racing Officials

Howard L. Battle - Keeneland

Michael S. Lakow - New York Racing Association

Thomas S. Robbins - Del Mar

Robert D. Umphrey - Calder

Member Observers

Jerry S. Botts - Churchill Downs

Larry A. Craft - Lone Star Park, Retama Park

Sean Greely - Meadowlands, Monmouth Park

Frank G. Gabriel, Jr. - Arlington Park

Leonard C. Hale - Maryland Jockey Club, Colonial Downs

Grant E. Holcomb - Emerald Downs

Martin Panza - Hollywood Park

Patrick J. Pope - Louisiana Downs, Oaklawn Park, Prairie Meadows

LIST OF ABBREVIATIONS

“a” following a distance indicates that the distance is approximate rather than exact.

“(E.B.F.)” following a race name indicates the purse of the race has been supplemented by the European Breeders Fund.

“g” following a purse indicates that the amount is guaranteed.

“(H)” following a race name indicates that the race is a handicap race.

“(L)” following a race name indicates that the race is run in North America and is eligible to be graded but has not been assigned graded status for the year contested by the North American graded stakes committee (see page xii for full definition). In 1991 a L race may not be a restricted race. Prior to 1991 any ungraded race with added money value of \$50,000 or more was considered a listed race. It is further defined by track name and earnings for first three finishers.

“NA” located in any field indicates the data for that field was not available at the time of publication or that the information is not applicable.

“(R)” following a race name indicates that the race is restricted to certain runners which are further defined in the conditions of the race.

“T” following a distance indicates the race is scheduled to be run on a turf course. All other races are run on a different surface.

Races with sex restrictions will be designated with the following abbreviations following the age:

c = colts

g = geldings

f = fillies

m = mares

EXPLANATORY NOTES

Distance Conversion

Race distances are computed differently in individual countries. Furlongs, miles and meters are all commonly used to describe the distance of a race. A meter is equal to 39.37 inches; a 1600 meter race is about 30 feet short of a mile. For the purpose of this book, the following equivalnets are used:

One Mile = 1600 meters

8 furlongs = One Mile

4th Place Finishers in Part I Group/Graded race:

Fourth place finishers in Group/Grade I races in all parts of this book do **not** receive black type for races contested on or after January 1, 1990.